



**Finance, Resources, Audit and Governance
Committee
26 July 2024**

Strategic Risk Register

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Portfolio: External Affairs & Policy (Leader)

Ward(s) Affected: None

Purpose of the Report:

The purpose of this report is to provide an overview of the current position of the Strategic Risk Register for South Norfolk Council.

Recommendations:

1. Review and note the Strategic Risk Register for the Council.

1 SUMMARY

- 1.1 This report provides the committee with an update and overview of the current position in terms of strategic risk for South Norfolk Council.

2 BACKGROUND

- 2.1 The Risk Management Policy sets out the approach for the Council in terms of how it will identify, manage, reduce and mitigate risks of all levels to the organisation.
- 2.2 To facilitate the management of risk throughout the organisation, the Council maintains a system of risk registers. The risks are identified through the Corporate Leadership Team's (CLT) assessment of the risks to the delivery of the Council's Strategic Plan and Delivery Plan. The Strategic Risk Register records the strategic risks faced by the Council. Attached to this report is the latest update of the Strategic Risk Register.
- 2.3 The Strategic Risk Register forms part of the Council's quarterly (reported formally to Cabinet in Q2, Q3 and Q4) performance, risk and finance reports. The Strategic Risk Register is a document which is reviewed on a regular basis and subject to change depending upon the current circumstances in which the Council is operating.
- 2.4 As set out in the Policy, Cabinet has ultimate responsibility for:
- Setting the culture for risk management at the Council
 - Approving the Policy
 - For developing and approving Risk Appetite
- 2.5 Detailed oversight of the risk management process is delegated to the Audit Committee, who are responsible for scrutinising the scope and effectiveness of the risk management systems in place.
- 2.6 In developing the Strategic Risk Register, CLT (including Assistant Directors in conjunction with Portfolio Holders) are responsible for identifying, evaluating and reporting on significant strategic risk faced by the Council. Strategic risks are risks that are significant in size, impact and duration and could impact on the performance of the Council as a whole. This may include operational risks escalated from particular services to the leadership level due to the potential scale of their impact.
- 2.7 Risks in the Strategic Risk Register are assessed for both their likelihood (on a scale of 1-5) and their impact if they were to happen (on a scale of 1-5), which are then combined to give each risk a severity score. Once all ongoing and planned mitigating actions are taken in consideration, each risk's "residual severity score" is recorded. The higher the severity score, the more significant the risk is.
- 2.8 Alongside the Strategic Risk Register, each directorate across the Council manages their Directorate Risk Registers. Directorate Risk Registers detail the

more operational risks to the organisation and are the responsibility of the Director and Assistant Directors in that directorate to manage. If a risk on a Directorate Risk Register scores a high severity score above our risk appetite as a Council, this will be escalated to the Strategic Risk Register and reported to Cabinet and the Committee. There is also support, guidance and templates on identifying and managing risk (including project risk) available on our internal intranets for staff.

3 CURRENT POSITION/FINDINGS

- 3.1 CLT have reviewed and updated the Strategic Risk Register to ensure we are managing the risks effectively and that we are taking the right action to prevent the risk from escalating and ultimately reduce the risk where possible. As part of reviewing the register, CLT take into consideration:
- Whether risks are still relevant
 - Any emergent risks which have been identified
 - Whether the likelihood and impact of risks has changed
 - Whether controls which are in place are still effective
- 3.2 As part of our ongoing continuous improvements to the way we manage and report risk, CLT have reviewed the format of the strategic risk register and have now included columns showing Inherent Risk as well as Mitigated Risk. This is to support Members and Staff to fully understand the impact of our mitigating actions and to ensure that we are able to track progress of the risk through its lifecycle.
- 3.3 Inherent Risk - we will now be using the first risk score in the register to score the inherent risk to the organisation. This is the score which reflects the natural risk level in an environment where the risk has not been controlled or new/additional mitigating actions taken. This score can change over time if the inherent risk changes e.g. the external environment changes.
- 3.4 Mitigated Risk (current risk) score will be used to reflect how effective the mitigating actions have been on the inherent risk. This score should be lower than the inherent risk if the mitigating actions are effective.
- 3.5 Since the risk register was last seen by the committee, no new strategic risks have been identified.
- 3.6 One strategic risk has had the scores increased this quarter. This is:
- SNCP3: The level of funding allocated from Defra to cover the costs of the vehicles required to roll out a food waste service is insufficient to cover the full, a further challenge has been sent back to Defra also setting out the costs associated with needing to expand the current depot to accommodate the required additional vehicles

3.7 The below heatmap provides an overview of the current risk register with the risks which are being managed at a strategic level. A full version of the Strategic Risk Register can be found in appendix 1, alongside a summary of the key changes to the risks since the last committee meeting.

Risk Scoring Matrix		1	2	3	4	5
		Insignificant	Minor	Moderate	Significant	Severe
5	Expected				SNCP3 - Government's Waste Strategy (risk increased)	
4	Highly Likely					SNCM12 – Cyber Attack (no change) SNCP3 – Government's Waste Strategy (risk increased)
3	Likely				SNCM6 – Reform and Devolution (no change)	
					SNCM11 – One Team Capacity and Capability (no change)	
					SNCGE1 – Leisure Memberships (no change)	
					SNCSI2 – Energy costs and disruption (no change)	
2	Not Likely					SNCM1 – Financial (no change)
						SNCSI4 – housing demand (no change)
1	Rare					

4 PROPOSED ACTION

4.1 The Strategic Risk Register, alongside the Directorate Risk Registers will continue to be managed and reviewed into the next quarter.

5 OTHER OPTIONS

5.1 None.

6 ISSUES AND RISKS

6.1 Any specific implications are captured in the individual risks listed in the Appendix.

6.2 **Resource Implications** – each risk has associated resources aligned to each action.

6.3 **Legal Implications** – no other implications.

6.4 **Equality Implications** – no other implications.

6.5 **Environmental Impact** – no other implications.

6.6 **Crime and Disorder** – no other implications.

6.7 **Risks** – captured in the appendix.

7 **RECOMMENDATIONS**

7.1 Review and note the Strategic Risk Register update for the Council.

Appendix 1
South Norfolk Council – Strategic Risk Register
Last reviewed – April 2024



South Norfolk Council – Strategic Risk Management

The risk appetite of the Council is outlined by a risk appetite statement as set out below:

South Norfolk and Broadland are both dynamic, innovative and commercially minded Council's that empower staff to make well-rounded decisions and take proportionate risks within our boundaries based on intelligence, reason and insight, seizing opportunities to enhance the wellbeing of our communities, economy and staff, reimagining the role of local government.

The statement outlines the Council's approach to risk appetite and is accompanied by a risk scoring matrix (see below) which indicates whether the combined risk likelihood and impact score is above the appetite of the Council. The appropriate approach for managing the risk is then highlighted depending on the combined score. There is a copy of the likelihood and impact matrix from our Risk Management Policy at the end of this report for reference.

Any risk with a combined score of 10-25 is outside the risk appetite and action must be taken to reduce the score down to an acceptable level to protect the achievement of the Council's strategic aims and objectives. The following pages of this report sets out the current Strategic Risks to the Council, their current risk scores and the actions being taken to reduce the scores.

Risk Scoring Matrix		1	2	3	4	5
		Insignificant	Minor	Moderate	Significant	Severe
5	Expected	Medium 5	Medium 10	High 15	Very High 20	Very High 25
4	Highly Likely	Low 4	Medium 8	High 12	High 16	Very High 20
3	Likely	Low 3	Medium 6	Medium 9	High 12	High 15
2	Not Likely	Very Low 2	Low 4	Medium 6	Medium 8	Medium High 10
1	Rare	Very Low 1	Very Low 2	Low 3	Low 4	Medium 5
Very High 20-25		Risks scored here represent a severe threat to the delivery of the Council's objectives and service delivery and are outside of the risk appetite of the Council. Risks scored at this level should be treated as a priority and should either be reduced to a score within tolerance or removed. Reporting on progress will be required at Cabinet/Audit Committee and at CMLT until risk level is reduced to tolerance.				
High/Medium High 10-16		Risks scored here represent a significant threat to the delivery of the Council's objectives and service delivery and are outside the risk appetite. Action is required to reduce the rating to a score within tolerance. Reporting on progress is required at Cabinet/Audit Committee and CMLT until risk level is reduced to tolerance.				
Medium 5-10		Risks scored here represent a moderate threat to the delivery of the Council's objectives and service delivery and are within the risk appetite of the Council with some proportionate mitigation and regular monitoring required. These risks can be managed at operational/service level but regular management review of assurance on control effectiveness should occur. Routine reviews should also be carried out to ensure there is no change.				
Low 3-4		Risks here represent a minor threat to the delivery of the Council's objectives and service delivery and are within the risk appetite. Review required to ensure risk score does not change/increase, however these risks can be managed at operational/service level.				
Very Low 1-2		Risks scored here represent an insignificant threat to the delivery of the Council's objectives/service delivery and are within the risk appetite. No further action is required.				

Key Changes to Strategic Risks

The most recent review of the strategic risk register has generated the following changes:

Risk Ref	Risk Score Change	Risk description change	Risk consequence change	Risk mitigations change	Risk owner change	New Strategic Risk	Commentary
SNCM1							
SNCM6							
SNCM11							
SNCGE1							
SNCM12				x			New mitigating action added (mitigation 10)
SNCSI2							
SNCSI4							
SNCP3	x		x				Risk score increased to reflect the increased risk associated with insufficient capital funding which has been allocated by Defra to purchase to vehicles required or fund the extension to the depot.

Strategic Risk Register

						Inherent Risk (if no further action taken)									Mitigated Risk		
Objective	Ref	Risk description	Existing Controls	Likelihood	Impact	Severity score	Risk Response	Planned mitigating actions	Risk Owner	Portfolio Holder	Delivery timescales	Likelihood	Impact	Severity score	Within Risk Appetite?	Comments and progress on actions	
Moving with the times, working smartly and collaboratively	SNCM1	<p>Risk - Financial - The Council fails to anticipate and respond to large scale changes in the external environment that impacts on our ability to deliver our MTFP.</p> <p>Consequence - A negative impact on the Council's finances, either from reductions in income or funding, or from increased cost pressures.</p>	<p>Regular review and monitoring of the Delivery Plan.</p> <p>Medium Term Financial Plan (MTFP) budget process and scenario planning.</p> <p>Corporate Leadership Team (CLT) relationship building and liaison with key stakeholders such as central Government departments and professional bodies.</p> <p>Regular Horizon Scanning.</p> <p>Implementing Broadland/South Norfolk Collaboration.</p> <p>Quarterly review of performance and risks to the organisation.</p> <p>Active Membership of different groups such as the District Councils Network (DCN), Local Government Association (LGA), Rural Services Network (RSN) etc.</p>	3	5	15	Reduce	<ol style="list-style-type: none"> 1. Lobby government for adequate funding, acknowledging impact on costs & demand of cost of living rises. 2. Respond to Government Consultations to ensure any potential impact on the Council finances is conveyed to Government. 3. Feed into any relevant networks e.g. LGA and DCN to influence policy creation. 4. Ensure local MP's are aware of the Council financial position and potential impact of any forthcoming Government policies as part of the regular MP briefings. 5. Continued regular horizon scanning and policy updates to 	Assistant Director Finance	Cllr Richard Elliott	<ol style="list-style-type: none"> 1. Prior to Autumn budget 2. As appropriate when consultations open 3. As Appropriate 4. At regular MP Briefings 5. Monthly 6. Quarterly 	2	5	10	No	<p>No change to the risk score - Final Settlement for 24/25 provided a 4% increase in core spending power, but again this is only a one year settlement.</p> <ol style="list-style-type: none"> 1. Requirement to continue to lobby for a multi-year settlement in future and recognition that cost of living rise will squeeze council budgets as both costs and demand for services increase. 2. As appropriate when consultations open. 3. As appropriate. 4. At regular MP Briefings. 5. Monthly horizon scanning and policy reports are developed for CLT. 6. Completed on a quarterly basis. 	

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								CLT and management team to ensure we stay abreast of changes and are able to have influence. 6. Regular monitoring of our current position and reporting to Members.								
Moving with the times, working smartly and collaboratively	SNCM6	<p>Risk - The Council fails to take advantage and act quickly and proactively on the opportunities of Local Government Reform and devolution.</p> <p>Consequence - Failure to achieve potential for greater devolved funding and/or decision making to the region and the benefits this would bring for residents and businesses in our area.</p>	<p>Regular Horizon Scanning.</p> <p>Active Membership of different groups such as the DCN, LGA, RSN etc</p> <p>Implementing Broadland/South Norfolk Collaboration.</p> <p>Quarterly review of performance and risks to the organisation.</p> <p>CLT relationship building and liaison with key stakeholders such as central Government departments and professional bodies.</p>	3	4	12	Reduce	<p>2. Continued regular horizon scanning and policy updates to CLT, management team and Members to ensure we stay abreast of changes and are able to have influence.</p> <p>3. Lobby MPs on specific policy issues and the implications for our residents.</p> <p>4. Work with our partners where appropriate to present a collaborative response to political changes.</p>	Director of Resources	Cllr John Fuller	<p>2. Monthly</p> <p>3. As appropriate</p> <p>4. As appropriate</p>	3	4	12	No	<p>No change to the risk score</p> <p>2. Regular policy updates are presented to CLT and the wider organisation to ensure we stay abreast of key changes. A monthly horizon scanning report is produced for CLT and the Strategy Team attends Directorate meetings on a regular basis to provide an overview of recent policy updates.</p> <p>3. This is ongoing and done as appropriate, with MPs briefed on the levelling up option that would be favoured as Districts to deliver the best outcome for our residents.</p> <p>4. This is ongoing and done as appropriate.</p>
Moving with the times, working smartly and collaboratively	SNCM11	<p>Risk - Capability and capacity does not meet organisational requirements.</p>	<p>Four year Strategic Plan developed and in place which sets out the ambitions for the Council over the coming years.</p>	4	4	16	Reduce	<p>1. Identification and management of known resource issues across the</p>	<p>1 - CLT</p> <p>2 - 4 Chief of Staff</p>	Cllr Daniel Elmer	<p>1. The finalisation of the strategic plan & delivery plan from 2024</p>	4	4	12	No	<p>No change to the risk score</p> <p>1. The procurement team is now more stable and progress</p>

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		<p>Consequence - Poor standards of service delivery, service disruption, slow or minimal transformation and inability to meet savings targets as a result. This could also lead to budget underspends if the lack of capacity leads to projects being delayed.</p>	<p>Delivery Plan for the Council developed and in place which sets out the detailed projects and BAU for the Council in the coming year to 2024.</p> <p>Management/Leadership Training and Development in progress.</p> <p>Regular Budget Monitoring.</p> <p>Project Management Office in place with the core purpose of aligning Transformation projects in the pipeline and resource for delivery</p>					<p>organisation (e.g. procurement)</p> <p>2. Scope and develop a talent management programme.</p> <p>3. Build our own talent - Develop projects to consider our use and opportunities of apprenticeships, internships, career placement, graduates etc.</p> <p>5. Additional financial monitoring of key projects.</p> <p>7. Local authority benchmarking across the region and wider to ensure pay and benefits on a role specific basis remain comparable and competitive.</p> <p>9. Starting to widen portfolio approach defined and implemented by Q1 24/25</p> <p>10. Further integrate the budget setting and planning process with a</p>	<p>5 - Director of Resources</p> <p>6 - 7 - Chief of Staff</p> <p>8 - 9 – 10 Assistant Director ICT/Digital and Transformation</p>		<p>onwards aligns the requirements of the council to budget and resources - specific areas will be reviewed as necessary by Asst Directors to ensure right resource in the right place 2 and 3. Talent management requires a refocus during 2024 to better define within service areas how to address this - HRBPs to work with ADs on this. During 2024 & then BAU approach. 7. This has been difficult to gather through EELGA - a relaunch of this is being undertaken by EELGA so we will observe how this develops & if this gives the information required</p> <p>9. Integrated approach defined</p>					<p>has been made in ensuring the contracts register is up to date and advice is provided promptly. However there is still further work to do to ensure consistency of advice. Other areas currently being reviewed for resources are those associated with capital programmes, mainly SNC projects. Improvements are also being made to business cases to ensure we have the right capacity and capability to take forward key initiatives i.e. capitalisation of PM for HR & Payroll system.</p> <p>2. Ongoing</p> <p>3. Ongoing, now BAU</p> <p>5. The portfolio approach has been established for transformation governance providing greater viability over project and programme delivery and is being rolled out to other portfolios.</p> <p>7. Still work in progress, focus is currently on the implementation of the new HR & Payroll system which will result in our own data being</p>

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								clear view to aligned resource and initiatives with must do activities outlined.			and implemented from Q1 24/25 10. Integrated approach defined and implemented by Q1 24/25					better and more timely, we can then look to pick up the benchmarking again. 9 and 10 Integrated approach defined and implemented by Q1 24/25
Growing the Economy/ Supporting individuals and empowering communities	SNCGE1	<p>Risk – The Council is unable to maintain memberships and income levels at its Leisure Centres as a consequence of Covid-19 and increased costs of living.</p> <p>Consequence – Membership levels decrease. Expenditure levels exceed income levels and the commercial viability of the service decreases.</p>	<p>Detailed Covid-19 procedures in place</p> <p>Regular contact with existing members</p> <p>Marketing campaigns to increase membership</p> <p>Review of existing member offer and pricing structures</p> <p>Budget monitoring</p>	4	5	20	Reduce	<p>1. Provide a range of incentives to encourage existing and new members to return</p> <p>2. Delivery of the savings through reduced staff resources and utility costs</p> <p>3. Look for further income generating opportunities and review of membership offer</p>	Assistant Director Community Services	Cllr Kim Carsok	All timelines are inline with the Leisure Recovery Plan to March 2024 1. Ongoing 2. Ongoing 3. Ongoing	3	4	12	No	<p>No change to the risk score</p> <p>1 and 3 - The service continues to provide a range of incentives, marketing campaigns and launched a new website, the service now has a dedicated marketing officer.</p> <p>2. The increase in utility costs, particularly electricity has continued to have a negative impact upon expenditure. The decision by HMRC to allow Public Leisure Services to retain VAT on certain products has had a positive impact on income which remains ahead of budget. Cabinet agreed to ringfence the repayment of VAT to support the continued financial recovery of the leisure service.</p> <p>3. The Business case for the development of an improved dry side offer in Diss currently being developed - work</p>

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																has commenced on improvements at Diss pool.
Moving with the times, working smartly and collaboratively	SNCM12	<p>Risk - National Cyber Security Centre has advised of a heightened cyber threat for UK organisations due to the volatile situation in Ukraine and the potential for state-sponsored attacks on NATO members, which includes the UK.</p> <p>Consequence - A successful cyber-attack could render the ICT infrastructure and line of business systems unusable for a protracted period of time, significantly impacting the Council's ability to deliver statutory services and result in a significant financial impact to the business.</p>	<p>Geo-blocking of traffic originating from black-listed countries.</p> <p>Timely application of security updates to all software and firmware</p> <p>Ensuring Anti-Virus software updated and functioning</p> <p>Monitoring of adherence to security policy ensuring there are no exceptions</p> <p>Ringfenced £125,000 from the Future Councils funding to dedicate to improving our approach to cyber security and to implementing the actions resulting from the Cyber Assessment Framework.</p>	5	5	25	Reduce	<p>1. Ensure the effectiveness of the Controls - commission a third-party review of the Council's security posture.</p> <p>3. Raise awareness of the risk of cyber-attack with the business and the importance of adhering to the security policy.</p> <p>4. Ensure ICT staff adequately trained and skilled to apply security controls and manage security events.</p> <p>5. Ensure Members are aware of Cyber-security risks through the completion of Skills Gate</p> <p>6. Review and implement a bespoke Cyber Security awareness training package making it an annual</p>	Assistant Director ICT/Digital and Transformation	Cllr Daniel Elmer	<p>3. Ongoing</p> <p>4. Ongoing</p> <p>5. Ongoing requirement</p> <p>6. Q4 24/25</p> <p>8. Implementation complete Q4 24/25</p> <p>9. Q1 24/25</p> <p>10. Q4 24/25</p>	4	5	20	No	<p>No change to risk score</p> <p>1. Cyber Assessment Framework assessment has been completed and draft report received.</p> <p>3. No update this will be a direct output of the implementation of the CAF</p> <p>4. The first table top exercise is scheduled for the 7th June for CLT.</p> <p>5. The training package has been reviewed and agreed. The frequency is proposed to be annually but subject to further agreement ahead of implementation.</p> <p>6. As above - action 5</p> <p>7. The CAF report has been received alongside a targeted improvement plan. The report was considered by CLT on the 19th April and will now move into delivery monitored by the project approach.</p> <p>8. As above - action 7</p> <p>9. In progress</p> <p>10. in progress</p>

Supporting individuals and empowering communities/ Growing the Economy	SNCSI2	<p>Risk – Increasing energy costs for leisure and depot, plus unsecure or disruption to supply of fuel (gas/diesel/HVO)</p> <p>Consequence – Increase costs of operating the facilities and risk to be able to deliver the waste operations</p>	Energy supplies purchased through ESPO framework agreements and established relationship with fuel supplier	4	4	16	Reduce	<p>2. Diversification of fuel types being used in vehicles to include HVO</p> <p>3. Regular discussion with suppliers to understand current position in market, availability and costs</p> <p>4. Develop contingencies to be able to transport staff into depot if there are fuel shortages</p> <p>5. Investigating installation of PCVs on roofs of leisure centres to reduce reliance upon external electricity supplies</p> <p>6. Apply for Sport England to undertake an energy survey to identify areas of improvement where efficiencies can be made.</p>	Assistant Director Community Services	Cllr Kim Carsok and Cllr Keith Kiddie	<p>2. Ongoing</p> <p>3. Ongoing</p> <p>4. Ongoing</p> <p>5. Ongoing - survey completed, procurement commencing, estimated delivery by the end of the calendar year</p> <p>6. October 2023</p>	3	4	12	No	<p>No change to risk score</p> <p>2. HVO mix being used in vehicles</p> <p>3. Ongoing, but supply position is now stable</p> <p>4. Contingency options developed and being kept under review</p> <p>5. Contract for installation of panels now awarded, application for Planning Permission expected to be approved May 2024.</p> <p>6. A Sport England funded energy efficiency survey of all the centres has been completed and identified actions that can be undertaken to further approve efficiencies, these are now being worked through. Secured £57K of funding from Sport England to undertake energy efficiency works at Wymondham Leisure Centre.</p>
Supporting individuals and empowering communities	SNCSI4	<p>Risk - There is insufficient affordable private housing and insufficient social housing stock to meet the demand on the Council,</p>	<p>Well managed allocation policy, and clear banding guidelines.</p> <p>Online form to allow early access to support, including linking to help hub infrastructure.</p>	4	5	20	Reduce	<p>1. Additional funding to provide temporary accommodation to ensure adequate emergency</p>	Assistant Director of Individuals and Families	Cllr Graham Minshull	<p>1 & 2. Current model in place until 2027</p> <p>3. Working groups under Evolve programme</p> <p>4. Ongoing</p>	2	5	10	No	<p>No change to risk score</p> <p>Temporary accommodation has been purchased and model in place</p>

						Inherent Risk (if no further action taken)							Mitigated Risk			
Objective	Ref	Risk description	Existing Controls	Likelihood	Impact	Severity score	Risk Response	Planned mitigating actions	Risk Owner	Portfolio Holder	Delivery timescales	Likelihood	Impact	Severity score	Within Risk Appetite?	Comments and progress on actions
		and temporary accommodation Consequence - Unable to provide a housing throughput which results in blockages to temporary accommodation. This will result in increased costs and poorer outcomes for vulnerable residents. This will also have an impact on our partners and the wider system such as care leaver and the system will rapidly become silted up.	Current team resources in place and funded. Ukraine and cost of living programmes establishment and working well Housing enablement partnership in place to consider options to increase additional stock.					options are available to residents 2. TA review looking at future housing options including buying more property which will offset longer term costs. 3. More strategic approach to future housing strategy and delivery, including confidence to explore new and different options. 4. Manage housing register more closely to reflect reality and demand alongside support. 5. Long term move on plan for Ukraine residents in place. 6. Cost of living demand monitored. 7. Purchase of LAHF properties continues as additional funding rounds are offered.			5. Ongoing. 6. Ongoing 7. Round 3 - Sep 2024					

						Inherent Risk (if no further action taken)							Mitigated Risk			
Objective	Ref	Risk description	Existing Controls	Likelihood	Impact	Severity score	Risk Response	Planned mitigating actions	Risk Owner	Portfolio Holder	Delivery timescales	Likelihood	Impact	Severity score	Within Risk Appetite?	Comments and progress on actions
Protecting and improving our natural and built environment, whilst maximising quality of life / Growing the Economy	SNCP3	<p>Risk - Implications arising from the Government's Resources and Waste Strategy and Environment Act 2021</p> <p>Consequence - Requirement for significant changes to service delivery and the need for a replacement Depot, increased costs and loss of income</p>	<p>Currently there is very little clarity from the Government on the precise details, timelines or funding that will be provided or income that could be lost following the introduction of the Deposit Return Scheme, Extended Producer responsibilities and Consistency of Collections.</p> <p>Officers continue to attend Defra Webinars and are undertaking scenario planning.</p>	5	5	25	Reduce	<ol style="list-style-type: none"> Lobby government for adequate funding for the implementation of the proposed changes. Respond to Government Consultations to ensure sufficient time and funding is provided to implement the changes. Feed into any relevant networks e.g. LGA and DCN to influence policy direction and implementation. Ensure local MPs are aware of the financial and service implications. Ensure the necessary up to date information is fed into Waste Data Flow. Undertake scenario for each planned service strand change to understand the potential implications. Lack of space and infrastructure at existing depot affecting the 	Assistant Director Community Services	Cllr Keith Kiddie	1 - 7 Ongoing	5	4	20	No	<p>Risk Increased</p> <p>1-6 Officers continue to attend Defra workshops on the proposals.</p> <p>6. The level of funding allocated from Defra to cover the costs of the vehicles required to roll out a food waste service is insufficient to cover the full, a further challenge has been sent back to Defra also setting out the costs associated with needing to expand the current depot to accommodate the required additional vehicles.</p> <p>All information on Waste Data Flow has been updated and a benchmarking exercise has been undertaken for the Council by WRAP.</p> <p>7. The Council is now working with NCC to review options for realigning current operations at the two Depots at Ketteringham with the aim improved usage of both sites.</p>

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								ability to provide a safe and compliant service								

De-escalated/closed risks in 23/24

Risk Ref	Reason risk was de-escalated/closed	Quarter risk was de-escalated/closed
SNCP2 - The inability to find Gypsy and Traveller (G&T) sites to meet the need and enable the Greater Norwich Local Plan to be found sound	Mitigated risk score reduced due to positive progress against mitigating actions. To be managed at an operational level via the Place Directorate Risk Register	Q2
SNCP1 - Nutrients Neutrality advice impacts all planning decisions for overnight accommodations	Mitigated risk score has reduced to reflect the recent Government announcement. This will be monitored closely as the Levelling Up and Regeneration Bill makes its way through Parliament. To be managed at an operational level via the Place Directorate Risk Register	Q2
SNCM9 - The Council is unable to take advantage of the benefits and opportunities from collaborative working with Broadland District Council and other key partners through autonomous policy decision-making.	Mitigated risk score has been within risk appetite for the past year. To be managed at an operational level via the Resources Directorate Risk Register	Q2

Risk likelihood and impact matrix

Likelihood Matrix			
Likelihood		Description	Timing
5	<i>Expected</i>	<i>Risk event or circumstance is relatively certain to occur</i>	<i>More than once per year</i>
4	<i>Highly Likely</i>	<i>Risk event or circumstance is highly likely to occur</i>	<i>Once per year</i>
3	<i>Likely</i>	<i>The risk event or circumstance is more likely to occur than not</i>	<i>At least once between 1-5 years</i>
2	<i>Not likely</i>	<i>The risk event or circumstance is possible</i>	<i>At least once between 5-10 years</i>
1	<i>Rare</i>	<i>This will probably never happen</i>	<i>Probably within 10-15 years</i>

Impact Matrix					
Impact Type	Insignificant	Minor	Moderate	Significant	Severe
Financial loss	£0k - <£10k	£10k - <£25k	£25k - <100k	£100k - £500k	Over £500k
Service provision	Inconsequential disruption to a service.	Minimal disruption to Council activities/service.	Significant disruption to service delivery.	Significant disruption to large parts of the Council or suspension of service.	Service suspended long term.
Projects	Minor delay	Minor milestones missed	Significant milestones missed or delayed	Major milestones missed	Project will not achieve objectives and misses majority of milestones
Objectives	No effect	Some team objectives not met	Team objectives not met	Portfolio objectives not met	Strategic objectives not met
Health and Safety	Isolated incident/ injury/illness. No lost time to injury days.	Minor injury or illness requiring medical treatment.	Threat of violence, serious injury or illness requiring medical attention.	Significant/extensive/multiple injury or illness, permanent or partial disability.	Fatality. Multiple major injuries/illness. Permanent total disability.
Staff Engagement	Isolated events of dissatisfaction	Some hostile relationships/minor non-co-operation	Hostile relationships. Major non-co-operation across the organisation	Industrial Action	Mass staff walkout/leaving
Reputational	Minor unfavourable social media coverage/attention	No media coverage. Minor letters of complaint	Adverse local media/social media coverage relating to conduct of leader or members or Council	Adverse national media exposure	Prolonged adverse national exposure
Statutory/Legal	Isolated non-compliance. Informal recommendation not followed up.	Non-compliance Warning received	Breach. Improvement Notice	Enforcement Action	Prosecution Fine Successful Judicial Review